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| Incomplete Application Response to Missing Parts under 37 C.F.R § 1.52 or1.53 | | |
| | NATURE OF APPLICANT, ATTORNE | Y, OR AGENT |
| Firm Jacqueline Haley, R or Individual name Signature | egistration No. 41,457 | |
| Date 15 August 2001 | | |
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| | is being deposited with the United States Post sioner for Patents, Washington, D.C. 20231 on | al Service as first class mail in an |
| Typed or printed name Jacqueline Hale | y | |
| Signature | relies Stalles Date | August 15, 2001 |
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| In re a | pplication of |) | | | |
|---------|-----------------------------------------------------------------------------------------------------------------------|------------------|-------------|-------|---------|
| WAT | ANABE, K., et al. | .) | Group Art U | nit: | 1614 |
| Serial | No.: 09/834,596 |) | Examiner: | Not A | ssigned |
| Filed: | 13 April 2001 |) | | | |
| For: | 3' OR 2'-HYDROXYMEHTYL SUBSTITUTED NUCLEOSIDE DERIVATIVES FOR THE TREATMENT OF HEPATITIS VIRUS INFECTIONS |)))) | | | |

INFORMATION DISCLOSURE STATEMENT

Honorable Assistant Commissioner of **Patents** Washington, D.C. 20231

Atlanta, Georgia 30308-2216

Dear Sir:

In accordance with the continuing duty under 37 C.F.R. §1.56 to disclose to the United States Patent and Trademark Office any information that may be material to the patentability of the above-identified invention, enclosed please find form PTO 1449 listing references presently known to Applicants relating to the above-identified application. Also enclosed are copies of the cited references. The submission of this information shall not be deemed an admission that this information is necessarily material to the patentability of the above-identified application.

This information disclosure statement is being submitted prior to the mailing of a first Office Action on the merits. Therefore, Applicants believe that no fee is due under 37 C.F.R. §1.17.

The Commissioner is hereby authorized to charge any deficiency in fees or credit any overpayment to PTO Deposit Account No. 20-1507.

Respectfully submitted,

Jacqueline Haley

Registration No. 41,457

I hereby certify that this correspondence is being deposited with the United States Postal Service with appropriate first class postage in an envelope addressed to: Honorable Assistant Commissioner of Patents, Washington, D.C. 20231 on 8-15-01

Jacqueline Haley, Reg. No. 41,457

Name of Registered Representative

8-15-01

Date

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| Substitute for form 1449A/PTO |
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| INFORMATION DISCLOSURE |
| STATEMENT BY APPLICANT |
| (use as many sheets as necessary) |

| Application Number | 09/834,596 | = = |
|------------------------|---------------|-------------|
| Filing Date | 13 April 2001 | |
| First Named Inventor | Watanabe, K. | |
| Group Art Unit | 1614 | m |
| Examiner Name | Not Assigned | 3 9 |
| Attorney Docket Number | PHARM1 | 五 |
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| | | | | U.S. PATENT DOC | UMENTS | 22 |
|----------------------|--------------|----------------------------------------------|-----------------------------------|-------------------------------------------------|--------------------------------------------------------|---------------------------------------------------------------------------|
| Examiner Initials | Code No.1 | U.S. Paten Number | Kind Code ² (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| | | 6271212 | | Chu, et al. | 7 Aug. 2001 | |
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| Examiner Initials ¹ | Code No. | Office ³ | Foreign Patent Docur | Kind Code ⁵ (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | , T⁵ |
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|-------------------------------|-----------------|--------------------|----------------------------------|--------------|
| | | | Filing Date | 04/13/01 |
| INTO | CMATION | DISCLUSURE | Filing Date First Named Inventor | WATANABE |
| STATEMENT BY APPLICANT | | Group Art Unit | 1614 | |
| (us | e as many sheet | ts as necessary) | Examiner Name | Not Assigned |
| Sheet | 2 | of 3 | Attorney Docket Number | PHARM1 |
| | | | | |

| | | OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS | |
|----------------------|---------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
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| | | <u> </u> | |

| Examiner | Date | |
|-----------|------------|--|
| Signature | Considered | |

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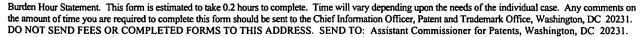
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)

Application Number 09/834,596 04/13/01 Filing Date First Named Inventor WATANABE 1614 **Group Art Unit Examiner Name** Not Assigned Attorney Docket Number PHARM1

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|---------------------------------------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|--|
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| Examiner | Date | |
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¹ Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the twoletter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.



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